

Docket No.: 61352-083

10/501192  
DT04 1000 PCT/PTO 09 JUL 2004  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
	:	
Hiroshi NAGAHAMA	:	Confirmation Number:
	:	
Serial No.:	:	Group Art Unit:
	:	
Filed: July 9, 2004	:	Examiner:
	:	
For: LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME		

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents and Trademarks  
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith. Since the Search Report was from the U.S. JPO or EPO search authorities, copies of these references should have been supplied to the USPTO under

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the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37 CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

Please note that the additional prior art documents are cited by the Applicant.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: July 9, 2004**

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>				ATTY. DOCKET NO. <b>61352-083</b>		SERIAL NO. <b>10/501192</b>	
				APPLICANT <b>Hiroshi NAGAHAMA</b>			
				FILING DATE <b>July 9, 2004</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US 5,652,165	07/29/1997	Lu et al.			
		US 5,916,694	06/29/1999	Tokura			
		US 5,858,834	01/12/1999	Hirota et al.			
		US 5,953,608	09/14/1999	Hirota			
		US 6,093,943	07/25/2000	Ikemasu et al.			
		US 5,936,272	08/10/1999	Lee			
		US 5,399,518	03/21/1995	Sim et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP P2001-216827 (w/ English Abstract)	08/10/2001	MATSUSHITA ELECTRIC IND CO LTD			
		JP P2001-356334 (w/ English Abstract)	12/26/2001	SONY CORP			
		JP 11-142841 (w/ English Abstract)	05/28/1999	MATSUSHITA ELECTRIC IND CO LTD			
		JP 5-102418 (English Abstract only)	04/23/1993	OKI ELECTRIC IND. CO., LTD.			
		5-160276 (English Abstract only)	06/25/1993	MITSUBISHI ELECTRIC CORP.			
		JP 6-188239 (English Abstract only)	07/08/1994	YAMAHA CORP.			
		JP 61-081657 (English Abstract only)	04/25/1986	SANYO ELECTRIC CO., LTD.			
		JP 63-126251 (English Abstract only)	05/30/1988	HITACHI LTD.			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>	<b>ATTY. DOCKET NO.</b> <b>61352-083</b>	<b>SERIAL NO.</b> <b>10/501192</b>
	<b>APPLICANT</b> <b>Hiroshi NAGAHAMA</b>	
	<b>FILING DATE</b> <b>July 9, 2004</b>	<b>GROUP</b>

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US			
		US			
		US			
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		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP 3-220725 (English Abstract only)	09/27/1991	NEC CORP.			
		JP 11-074480 (English Abstract only)	03/16/1999	OKI ELECTRIC IND. CO., LTD.			
		JP 6-021393 (English Abstract only)	01/28/1994	MATSUSHITA ELECTRON CORP.			
		JP 8-204147 (English Abstract only)	08/09/1996	MATSUSHITA ELECTRIC IND. CO., LTD.			
		JP 10-223897 (English Abstract only)	08/21/1998	NIPPON STEEL CORP.			
		JP 11-195713 (English Abstract only)	07/21/1999	SONY CORP.			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER

DATE CONSIDERED

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